

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF MISSISSIPPI
EASTERN DIVISION**

DEBBIE A. HARRIS

PLAINTIFF

V.

NO. 3:11-cv-00026-NBB-JMV

**MICHAEL J. ASTRUE,
COMMISSIONER OF SOCIAL SECURITY**

DEFENDANT

**Order Extending the Plaintiff's Deadline to Respond
to the Defendant's Motion for Summary Judgment**

Before the court is the defendant's motion to dismiss (# 7), filed on May 9, 2011. The plaintiff has failed to timely respond to this motion in accordance with the rules of this court. Nevertheless, because the defendant has presented matters outside the pleadings in support of the motion to dismiss, the court hereby notifies the parties that it will convert the motion into one for summary judgment and give the plaintiff an opportunity to respond.

Summary Judgment Procedure and Proof

Because the plaintiff is proceeding *pro se* in this action, a brief explanation regarding summary judgment motions is in order.¹ Motions for summary judgment are authorized by FED. R. CIV. P. 56. These motions permit the court to resolve lawsuits without the necessity of trials if there is no genuine dispute as to any facts which are material and if the moving party is entitled to judgment as a matter of law.

Pursuant to Rule 56(e)(3), if the nonmoving party fails to properly address the moving party's assertions of fact by presenting appropriate summary judgment evidence, the court may

¹The Fifth Circuit Court of Appeals has held that a district court may grant summary judgment *sua sponte*, provided that the adverse party is afforded proper notice and an opportunity to submit documents opposing summary judgment. *See Judwin Properties, Inc. v. U. S. Fire Insurance Co.*, 973 F.2d 432, 436 (5th Cir. 1992).

grant summary judgment if the motion and supporting materials show the movant is entitled to it.

In other words, when a defendant files a motion for summary judgment which is accompanied by proper supporting evidence, the court may grant the motion if the plaintiff fails to present evidence which contradicts it. Pursuant to Rule 56(c) summary judgment is proper “if the pleadings, depositions, answers to interrogatories, and admissions on file, together with affidavits, if any, show there is no genuine issue as to any material fact and that the defendant is entitled to judgment as a matter of law.”²

In the usual case, the defendant who seeks summary judgment must show by affidavit or other evidentiary materials that there is no genuine dispute as to any fact material to decision of the motion.³ In order for the court to find there are no genuine material factual issues, the court must be satisfied that no reasonable trier of fact could have found for the plaintiff or, in other words, that the evidence favoring the plaintiff is not sufficient to allow a reasonable jury to return a verdict for her.⁴ To satisfy this burden, the defendant must either submit evidentiary documents that establish that plaintiff cannot prove a material element of her claim, or, if the crucial issue is one for which the plaintiff will bear the burden of proof at trial, point out that the evidentiary documents in the record do not contain sufficient proof of an essential element of the plaintiff’s

² *Fraire v. City of Arlington*, 957 F.2d 1268, 1273 (5th Cir.), cert. denied, 506 U.S. 973, 113 S. Ct. 462, 121 L. Ed. 2d 371 (1992); *Hanks v. Transcontinental Gas Pipe Line Corp.*, 953 F.2d 996, 997 (5th Cir. 1992). “Material facts” are facts that “will affect the outcome of the suit under governing law.” *Colston v. Barnhart*, 146 F.3d 282, 283 (5th Cir. 1998).

³ See *Celotex Corp. v. Catrett*, 477 U.S. 317, 325, 106 S. Ct. 2548, 2552, 91 L. Ed. 2d 265 (1986).

⁴ See *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 249-50, 106 S. Ct. 2505, 91 L. Ed. 2d 202 (1986); *Matsushita Electrical Industrial Co. v. Zenith Radio Corp.*, 475 U.S. 574, 587, 106 S. Ct. 1348, 89 L. Ed. 2d 538 (1986).

claim.⁵

Once the defendant has carried that burden, however, the burden shifts to the plaintiff to show that summary judgment is not appropriate.⁶ The plaintiff cannot discharge this burden by referring to the mere allegations or denials of the defendant's pleadings; rather, she must, either by submitting opposing evidentiary documents or by referring to evidentiary documents already in the record, set out specific facts showing that a genuine issue as to a material fact exists.⁷ If the defendants' motion is supported by evidence, the plaintiff cannot discharge her burden by alleging mere legal conclusions; instead, she must present affirmative evidence in order to defeat a properly supported motion for summary judgment.⁸ If she is unable to present affirmative evidence with her response to the motion, the plaintiff must explain the reasons for her inability.⁹

Where the plaintiff has the burden of proof on an essential element of her case and does not, after adequate time for discovery, make a showing sufficient to establish the existence of that element, summary judgment may be entered against her.¹⁰ However, Rule 56 does not require

⁵See *Celotex*, 477 U.S. at 325; *Little v. Liquid Air Corp.*, 952 F.2d 841, 847 (5th Cir. 1992).

⁶See *Little*, 952 F.2d at 847; *Slaughter v. Southern Talc Co.*, 949 F.2d 167, 170 (5th Cir. 1991).

⁷See *Celotex*, 477 U.S. at 324; *Reese v. Anderson*, 926 F.2d 494, 498 (5th Cir. 1991); *Fields v. City of South Houston*, 922 F.2d 1183, 1187 (5th Cir. 1991); FED. R. CIV. P. 56(e).

⁸See *Anderson v. Liberty Lobby, Inc.*, 477 U.S. at 248-55.

⁹ See *Cormier v. Pennzoil*, 969 F.2d 1559, 1561 (5th Cir. 1992).

¹⁰*Celotex*, 477 U.S. at 322-24.

that discovery take place before the court may grant a summary judgment.¹¹ To be entitled to discovery before a ruling on a motion for summary judgment, the plaintiff must demonstrate how additional time and discovery will enable her to rebut the movant's allegation that no genuine issue of material fact exists.¹²

When summary judgment is inappropriate because supporting or opposing materials are improper, a district court has the discretion to call upon the parties to remedy defects by supplementing affidavits or otherwise.¹³ Although *pro se* plaintiffs are not held to the same standards of compliance with formal or technical pleading rules applied to attorneys, the Fifth Circuit Court of Appeals has never allowed *pro se* plaintiffs to oppose summary judgments by the use of unsworn materials.¹⁴ Unsworn pleadings do not satisfy Rule 56(e)'s requirements for summary judgment proof.¹⁵ In order for verified pleadings to constitute proper summary judgment proof, they must conform to the requirements of affidavits, that is, they must establish that the person making the affidavit is competent to testify to the matters in question, they must

¹¹See *Cormier*, 969 F.2d at 1561; *Rosas v. U.S. Small Business Administration*, 964 F.2d 351, 359 (5th Cir. 1992).

¹²See *Cormier*, 969 F.2d at 1561; *International Shortstop, Inc. v. Rally's, Inc.*, 939 F.2d 1257, 1267 (5th Cir. 1991), *cert denied*, 502 U.S. 1059, 112 S. Ct. 936, 117 L. Ed. 2d 107 (1992) (nonmoving party must show how additional discovery will defeat summary judgment motion, *i.e.*, create genuine dispute as to material fact and that nonmoving party must show that he has diligently pursued discovery of evidence in question).

¹³*Barker v. Norman*, 651 F.2d 1107, 1123 (5th Cir. 1981); *Gordon v. Watson*, 622 F.2d 120, 123 (5th Cir. 1980).

¹⁴*Id.*

¹⁵See *Dorsett v. Board of Trustees for State Colleges and Universities*, 940 F.2d 121, 123 (5th Cir. 1991); *Gordon v. Watson*, 622 F.2d 120, 123 (5th Cir. 1980).

show that the facts stated in the affidavit are based upon his personal knowledge, and they must contain a clear description of factual information that would be admissible at trial, not mere unsupported conclusions.¹⁶ The Fifth Circuit has repeatedly rejected efforts to oppose summary judgment with improper documents.¹⁷

In order to constitute proper summary judgment proof, affidavits must affirmatively show the person who signs the affidavit is competent to testify as to the matters in the affidavit and that the facts stated in the affidavit are based on his personal knowledge.¹⁸ The plaintiff is advised that an affidavit must be either properly notarized or make the declaration contained in 28 U.S.C. § 1746 in order to constitute proper summary judgment evidence.¹⁹ Accordingly,

It is, therefore, **ORDERED:**

¹⁶See *Salas v. Carpenter*, 980 F.2d 299, 305 (5th Cir. 1992); *Cormier*, 969 F.2d at 1561 (court may not consider hearsay contained in affidavit when ruling on summary judgment motion); *Hanks v. Transcontinental Gas Pipe Line Co.*, 953 F.2d at 997; *Lechuga v. Southern Pacific Transportation Company*, 949 F.2d 790, 794 (5th Cir. 1992); *Orthopedic & Sports Injury Clinic v. Wang*, 922 F.2d 220, 225 (5th Cir. 1991), (unsupported affidavits setting forth ultimate or conclusory facts and conclusions of law are insufficient to either support or defeat motion for summary judgment); *Isquith v. Middle South Utilities, Inc.*, 847 F.2d 186, 194 (5th Cir.), cert. denied, 488 U.S. 926, 119 S. Ct. 310, 102 L. Ed. 2d 329 (1988); *Lodge Hall Music, Inc. v. Waco Wrangler Club, Inc.*, 831 F.2d 77, 80 (5th Cir. 1987).

¹⁷See *Martin v. John W. Stone Oil Distributor, Inc.*, 819 F.2d 547, 549 (5th Cir. 1987), (holding that a district court may not consider either hearsay evidence in affidavits or unsworn documents in a summary judgment proceeding).

¹⁸See *Isquith v. Middle South Utilities, Inc.*, 847 F.2d at 194; *Lodge Hall Music*, 831 F.2d at 80 (Rule 56 requires that summary judgment affidavits be based upon personal knowledge, contain admissible evidence, and affirmatively demonstrate competency of affiant to testify as to matters contained therein).

¹⁹This means that plaintiff can still provide the court with affidavits that are proper summary judgment proof, as long as the affidavits contain the following language directly above the signature line: “I declare (or certify, verify, or state) under penalty of perjury that the foregoing is true and correct. Executed on (date).” See 28 U.S.C. § 1746(2).

That the plaintiff is granted fourteen (14) additional days from the date of this order to file her response and proper summary judgment evidence in opposition to the defendants' motion for summary judgment.

This, the 18th day of July, 2011.

/s/ Jane M. Virden
U. S. MAGISTRATE JUDGE